

(Print or Type Responses)

Person *

1. Name and Address of Reporting

Reporting Owner Name / Address

DAG Ventures Management V, LLC 251 LYTTON AVENUE, SUITE 200

PALO ALTO, CA 94301

UNITED STATES SECURITIES AND EXCHANGE **COMMISSION**

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES**

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Atara Biotherapeutics, Inc. [ATRA]

OMB APPROVAL OMB 3235-Number: 0104 Estimated average burden hours per 0.5 response...

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Statement

DAG Ventures Managemen LLC		th/Day/Yea 5/2014	ar)					
(Last) (First) (Mid 251 LYTTON AVENUE, SU 200	· ·			4. Relationship of Reporting Person(s) to Issuer (Check all applicable)		Filed(Mo	5. If Amendment, Date Original Filed(Month/Day/Year)	
PALO ALTO, CA 94301				DirectorX10% Ov Officer (give Other (sp title below) below)		(T 1' '1 1 T ' //C		
(City) (State) (Z	ip)	Ta	ble I - No	n-Derivati	ve Securities	Beneficially	Owned	
1.Title of Security (Instr. 4)		Ве	Amount of S neficially O sstr. 4)			Ownership	lirect Beneficial	
	d to respon	d unless t	he form di	isplays a c	n contained ir urrently valid warrants, optice	OMB control		
(Instr. 4)	and Expiration Date (Month/Day/Year)		Securities Underlying Derivative Security (Instr. 4)		Conversion or Exercise Price of	n Ownership	Beneficial Ownership (Instr. 5)	
	Date	Expiration Date		Amount or	Derivative Security	Security: Direct (D)		
	Exercisable		Title	Number of Shares	Security	or Indirect (I) (Instr. 5)		
Series A Preferred Stock	Exercisable (1)	(1)	Title Common Stock	Number of Shares		or Indirect (I)	see footnote (2)	
Series A Preferred Stock Series B Preferred Stock			Common Stock Common Stock	Number of Shares 1,278,935 623,863		or Indirect (I) (Instr. 5)	see footnote (2) see footnote (2)	
	(1)	(1)	Common Stock Common	Number of Shares 1,278,935 623,863	\$ <u>(1)</u>	or Indirect (I) (Instr. 5)		

Relationships

Director 10% Owner Officer Other

X

DAG Ventures V-QP, L.P. 251 LYTTON AVENUE, SUITE 200 PALO ALTO, CA 94301	X	
DAG Ventures V, L.P. 251 LYTTON AVENUE, SUITE 200 PALO ALTO, CA 94301	X	
Goodrich R. Thomas 251 LYTTON AVENUE, SUITE 200 PALO ALTO, CA 94301	X	
Cadeddu John J. 251 LYTTON AVENUE, SUITE 200 PALO ALTO, CA 94301	X	
Williams Greg 251 LYTTON AVENUE, SUITE 200 PALO ALTO, CA 94301	X	
Chung Young J. 251 LYTTON AVENUE, SUITE 200 PALO ALTO, CA 94301	X	
Pianim Nicholas K. 251 LYTTON AVENUE, SUITE 200 PALO ALTO, CA 94301	X	

Signatures

/s/ Joseph J. Zanone by power of attorney	10/15/2014
**Signature of Reporting Person	Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each share of preferred stock will automatically convert into one share of the Issuer's common stock upon the closing of the Issuer's initial public offering. The shares have no expiration date.
 - The shares are held by DAG Ventures V, L.P. DAG Ventures Management V, LLC ("DAG Management V") serves as the sole General Partner of DAG Ventures V, L.P. ("DAG V"). As such, DAG Management V possesses sole voting and investment control over the securities owned by DAG V and may be deemed to have indirect beneficial ownership of the securities held by DAG V. DAG
- (2) Management V, however, owns no securities of the Issuer directly. Messrs. Cadeddu, Chung, Goodrich, Pianim and Williams are Managing Directors of DAG Management V and share voting and dispositive power over the shares held by DAG V. Each Reporting Person disclaims beneficial ownership of the shares held by DAG V except to the extent of his or its proportionate pecuniary interest therein.
 - The shares are held by DAG Ventures V-QP, L.P. DAG Ventures Management V, LLC ("DAG Management V") serves as the sole General Partner of DAG Ventures V-QP, L.P. ("DAG V-QP"). As such, DAG Management V possesses sole voting and investment control over the securities owned by DAG V-QP and may be deemed to have indirect beneficial ownership of the securities held by
- (3) DAG V-QP. DAG Management V, however, owns no securities of the Issuer directly. Messrs. Cadeddu, Chung, Goodrich, Pianim and Williams are Managing Directors of DAG Management V and share voting and dispositive power over the shares held by DAG V-QP. Each Reporting Person disclaims beneficial ownership of the shares held by DAG V-QP except to the extent of his or its proportionate pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.