SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

1. Name and Address of Reporting Person [*] Hvllengren Eric J			Date of Event R atement (Month/		3. Issuer Name and Ticker or Trading Symbol Atara Biotherapeutics, Inc. [ATRA]					
Infinition Date of the second seco		(Middle) TICS, INC. TREET, SUITE 91320	04/01/2023		4. Relationship of Reporting Person(s (Check all applicable) Director X Officer (give title below) SVP, CFO	s) to Issuer 10% Owner Other (speci below)	(Mo 6. I App	onth/Day/Year) ndividual or Joint/ blicable Line) X Form filed by	te of Original Filed Group Filing (Check y One Reporting Person y More than One Reporting	
Table I - Non-Derivative Securities Beneficially Owned										
1. Title of Security (Instr. 4)				2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (Indirect (I) (In	ect (D) or 5)		Beneficial Ownership (Instr.		
Common Stock					234,060(1)(2)	D				
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)										
Expira (Month Date			2. Date Exer Expiration D (Month/Day/	ate	d 3. Title and Amount of Securities Derivative Security (Instr. 4)	Underlying	4. Conversion or Exercise	ise (D) or Indirect (I)	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
			Date Exercisable	Expiratio Date	n Title	Amount or Number of Shares	Price of Derivative Security			
Employee Stock	k Option (Right	to Buy)	08/20/2022	09/03/202	25 Common Stock	47,500	39.85	D		
Employee Stock Option (Right to Buy)			02/06/2023	02/05/202	29 Common Stock	11,500	38.47	D		
Employee Stock Option (Right to Buy)			04/01/2023	02/28/203	30 Common Stock	12,526	12.15	D		
Employee Stock Option (Right to Buy)			(3)	02/28/203	30 Common Stock	3,724	12.15	D		
Employee Stock Option (Right to Buy)			04/01/2023	02/28/203	Common Stock	9,530	17.105	D		
Employee Stock Option (Right to Buy)			(4)	02/28/203	Common Stock	8,768	17.105	D		
Employee Stock Option (Right to Buy)			04/01/2023	02/29/203	32 Common Stock	6,540	10.21	D		
Employee Stock Option (Right to Buy)			(5)	02/29/203	32 Common Stock	17,608	10.21	D		
Employee Stock Option (Right to Buy)			04/01/2023	02/28/203	33 Common Stock	8,298	3.91	D		
	Employee Stock Option (Right to Buy)									

Explanation of Responses:

1. Includes an aggregate 194,599 restricted stock units ("RSUs"), which are comprised of: (i) 5,297 RSUs that vest as to 1/4th of the shares on May 15, 2023 and each of the next three (3) "Quarterly Vesting Dates" (as defined herein); (ii) 6,066 RSUs that vest as to 1/8th of shares on May 15, 2023 and each of the next seven (7) Quarterly Vesting Dates; (iii) 18,697 RSUs that vest as to 1/3th of shares on November 15, 2023, November 15, 2024 and November 15, 2025; (iv) 11,777 RSUs that vest as to 1/12th of shares on May 15, 2023 and each of the next eleven (11) Quarterly Vesting Dates; (v) 20,000 RSUs that vest as to 1/2nd of shares on November 15, 2023 and each of the next eleven (11) Quarterly Vesting Dates; (v) 20,000 RSUs that vest as to 1/2nd of shares on November 15, 2023 and each of the next eleven (11) Quarterly Vesting Dates; (v) 20,000 RSUs that vest as to 1/2nd of shares on November 15, 2023 and each of the next eleven (11) Quarterly Vesting Dates; (v) 20,000 RSUs that vest as to 1/2nd of shares on November 15, 2023 and each of the next eleven (11) Quarterly Vesting Dates; each of which is subject to the reporting person's continuous service. 2. "Quarterly Vesting Date" means March 1, May 15, August 15, and November 15 of each year, provided that if such date falls on a weekend or holiday, the "Quarterly Vesting Date" shall be the first (1st) business day after such

2. Quarterly vesting Date means watch 1, way 15, August 15, and November 15 of each year, provided mat it such date fans on a weekend of honday, the Quarterly vesting Date shall be the first (1st) business day after such date.

3. 1/11th of shares subject to the stock option vest and become exercisable on May 1, 2023, and the remaining shares vest in equal monthly installments over the following ten (10) months, subject to the reporting person's continuous service.

4. 1/23rd of shares subject to the stock option vest and become exercisable on May 1, 2023, and the remaining shares vest in equal monthly installments over the following twenty-two (22) months, subject to the reporting person's continuous service.

5. 1/35th of shares subject to the stock option vest and become exercisable on May 1, 2023, and the remaining shares vest in equal monthly installments over the following thirty-four (34) months, subject to the reporting person's continuous service.

Remarks:

Exhibit 24 - Power of Attorney

/s/ Jeff Kiekhofer, Attorney-in-Fact for Eric J. Hyllengren

** Signature of Reporting Person

04/07/2023

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

POWER OF ATTORNEY

The undersigned, as a Section 16 reporting person of Atara Biotherapeutics, Inc. (the "Company"), hereby constitutes and appoints Jeff Kiekhofer, Amar Murugan, John Chao and Kathleen Klaben the undersigned's true and lawful attorneys-in-fact to:

1. Complete and execute Forms 3, 4 and 5 and other forms and all amendments thereto as such attorneys-in-fact shall in their discretion determine to be required or advisable pursuant to Section 16 of the Securities Exchange Act of 1934 (as amended) and the rules and regulations promulgated thereunder, or any successor laws and regulations, as a consequence of the undersigned's ownership, acquisition or disposition of securities of the Company; and

2. Do all acts necessary in order to file such forms with the Securities and Exchange Commission, any securities exchange or national association, the Company and such other person or agency as the attorneys-in-fact shall deem appropriate.

The undersigned hereby ratifies and confirms all that said attorneys-in-fact and agents shall do or cause to be done by virtue hereof. The undersigned acknowledges that the foregoing attorneys-in-fact, in serving in such capacity at the request of the undersigned, is not assuming, nor is the Company assuming, any of the undersigned's responsibilities to comply with Section 16 of the Securities Exchange Act of 1934 (as amended).

This Power of Attorney shall remain in full force and effect until the undersigned is no longer required to file Forms 3, 4 and 5 with respect to the undersigned's holdings of and transactions in securities issued by the Company, unless earlier revoked by the undersigned in a signed writing delivered to the Company and the foregoing attorneys-in-fact.

The undersigned hereby terminates all prior powers of attorney regarding the subject matter hereof.

IN WITNESS WHEREOF, the undersigned has caused this Power of Attorney to be executed as of March 30, 2023.

Signature: /s/ Eric Hyllengren

Eric Hyllengren