# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D.C. 20549

### FORM 8-K

#### **CURRENT REPORT**

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): August 9, 2021

## Atara Biotherapeutics, Inc.

(Exact name of Registrant as Specified in Its Charter)

001-36548

(Commission

46-0920988

(IRS Employer

Delaware

(State or Other Jurisdiction

Emerging growth company  $\square$ 

| of Incorporation)   | File Number)   | Identification No.)                              |
|---|--|--|
| 611 Gateway Boulevard, Suite 9  | 00   |  |
| South San Francisco, CA   |  | 94080  |
| (Address of Principal Executive Office  | es)  | (Zip Code)                                       |
| Registrant's T  | Telephone Number, Including Area Code: (65                         | 0) 278-8930                                      |
| (Former   | Not Applicable<br>Name or Former Address, if Changed Since Last Re | port)  |
| Theck the appropriate box below if the Form 8-K filing  |  | obligation of the registrant under any of the    |
| ollowing provisions (see General Instructions A.2. bel  | ow):   |  |
| Written communications pursuant to Rule 425 ur  | nder the Securities Act (17 CFR 230.425)                           |  |
| Soliciting material pursuant to Rule 14a-12 unde  | r the Exchange Act (17 CFR 240.14a-12)                             |  |
| Pre-commencement communications pursuant to   | Rule 14d-2(b) under the Exchange Act (17 CFI                       | R 240.14d-2(b))                                  |
| Pre-commencement communications pursuant to   | Rule 13e-4(c) under the Exchange Act (17 CFF                       | 2 240.13e-4(c))                                  |
| ecurities pursuant to Section 12 (b) of the Act:  |  |  |
| Title of Each Class   | Trading<br>Symbol(s)   | Name of Each Exchange<br>on Which Registered     |
| Common Stock, par value \$0.0001 per share  | ATRA   | The Nasdaq Stock Market LLC                      |
| ndicate by check mark whether the registrant is an emhapter) or Rule 12b-2 of the Securities Exchange Act |  | of the Securities Act of 1933 (§ 230.405 of this |

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new

or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.  $\Box$ 

### Item 5.02 Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers

(d)

On August 9, 2021 (the "Effective Date"), the Board of Directors (the "Board") of Atara Biotherapeutics, Inc. (the "Company") appointed, upon the recommendation of the Nominating and Corporate Governance Committee of the Board, Ameet Mallik to fill the vacant Board seat and to serve as a member with an initial term expiring at the Company's 2024 annual meeting of stockholders.

There are no arrangements or understandings between Mr. Mallik and any other persons pursuant to which he was selected as a director of the Company. The Board has not appointed Mr. Mallik to any standing Board committee. The Board has determined that Mr. Mallik is independent under the Company's Corporate Governance Guidelines, applicable U.S. Securities and Exchange Commission (the "SEC") requirements and Nasdaq listing standards. There is no transaction involving Mr. Mallik that requires disclosure under Item 404(a) of Regulation S-K.

As of the Effective Date, Mr. Mallik will participate in the Company's non-employee director compensation arrangements, which are generally described under the heading "Non-Employee Director Compensation" in the Company's Proxy Statement for its 2021 Annual Meeting of Stockholders as filed with the SEC on April 22, 2021. Under these arrangements, Mr. Mallik will receive an annual retainer of \$45,000 starting on the Effective Date, with payment pro-rated for any partial period of service. In addition, on the Effective Date, Mr. Mallik received an option to purchase 55,000 shares of the Company's Common Stock, which will vest on an annual basis over three years, subject to his continuous service as a member of the Board. The Company also entered into its standard form of indemnification agreement with Mr. Mallik.

#### **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned thereunto duly authorized.

Atara Biotherapeutics, Inc.

By: /s/ Amar Murugan

Amar Murugan Senior Vice President, General Counsel

Date: August 10, 2021