FORM 3

# **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

OMB APPROVAL						
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# INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Person*     Nguyen AnhCo			2. Date of Event Ro Statement (Month/ 06/01/2023		3. Issuer Name and Ticker or Trading Symbol Atara Biotherapeutics, Inc. [ ATRA ]							
(Last)	(First)	(Middle)				onship of Reporting Person(s all applicable) Director	s) to Issuer		5. If Amendment, Date of Original Filed (Month/Day/Year)			
C/O ATARA BIOTHERAPEUTICS, INC. 2380 CONEJO SPECTRUM STREET, SUITE 200 (Street)					X	Officer (give title below)  EVP, Chief Sci. & Te	Other (specify below)		Individual or Joint/Group Filing (Check Applicable Line)     X Form filed by One Reporting Person     Form filed by More than One Reporting Person			
THOUSAND OAKS	CA	91320										
(City)	(State)	(Zip)										
			Table I - No	n-Deriva	itive Se	curities Beneficially	Owned					
1. Title of Security (Instr. 4)						t of Securities lly Owned (Instr. 4)			Nature of Indirect Beneficial Ownership (Instr. 5)			
Common Stock						301,125(1)(2)	D					
	Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)											
1. Title of Derivative Security (Instr. 4)			2. Date Exerc Expiration D (Month/Day/	ate	and 3. Title and Amount of Securities Derivative Security (Instr. 4)		Conve or Exe		cise	5. Ownership Form: Direct (D) or	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
		Date Exercisable	Expiration Date	n Title		Amount or Number of Shares	Price of Derivative Security		Indirect (I) (Instr. 5)			
Employee Stock	Option (Right	to Buy)	05/10/2023	05/09/2031		Common Stock	87,124	13.2	4	D		
Employee Stock Option (Right to Buy)			(3)	05/09/2031		Common Stock	87,125	13.2	4	D		
Employee Stock Option (Right to Buy)			06/01/2023	02/29/2032	2	Common Stock	35,048	10.2	1	D		
Employee Stock Option (Right to Buy)			(4)	02/29/2032	2	Common Stock	77,108	10.2	1	D		
Employee Stock Option (Right to Buy)			06/01/2023	02/28/2033	3	Common Stock	28,907	3.91	l	D		
Employee Stock Option (Right to Buy)			(4)	02/28/2033	3	Common Stock	317,988	3.91	<u> </u>	D		

### **Explanation of Responses:**

- 1. Includes an aggregate 270,072 restricted stock units ("RSUs"), which are comprised of: (i) 57,724 RSUs that vest as to 1/8th of shares on August 15, 2023 and each of the next seven (7) Quarterly Vesting Dates (as defined herein); (ii) 41,020 RSUs that vest as to 1/11th of shares on August 15, 2023 and each of the next ten (10) Quarterly Vesting Dates; (iii) 30,000 RSUs that vest as to 1/2nd of shares on August 15, 2023 and 1/2nd on August 15, 2024; and (iv) 141,328 RSUs that vest as to 1/11th of shares on August 15, 2023 and each of the next ten (10) Quarterly Vesting Dates, each of which is subject to the reporting person's continuous service.
- 2. "Quarterly Vesting Date" means March 1, May 15, August 15, and November 15 of each year, provided that if such date falls on a weekend or holiday, the "Quarterly Vesting Date" shall be the first (1st) business day after such
- 3. 1/24th of shares subject to the stock option vest and become exercisable on June 10, 2023, and the remaining shares vest in equal monthly installments over the following twenty-three (23) months, subject to the reporting person's continuous service.
- 4. 1/33rd of shares subject to the stock option vest and become exercisable on July 1, 2023, and the remaining shares vest in equal monthly installments over the following thirty-two (32) months, subject to the reporting person's continuous service.

### Remarks:

Exhibit 24 - Power of Attorney

/s/ Jeff Kiekhofer, Attorney-in-Fact for AnhCo Nguyen

\*\* Signature of Reporting Person

06/09/2023

Oigne

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

### POWER OF ATTORNEY

The undersigned, as a Section 16 reporting person of Atara Biotherapeutics, Inc. (the "Company"), hereby constitutes and appoints Eric Hyllengren, Jeff Kiekhofer, Amar Murugan, John Chao and Kathleen Klaben the undersigned's true and lawful attorneys-in-fact to:

- 1. Complete and execute Forms 3, 4 and 5 and other forms and all amendments thereto as such attorneys-in-fact shall in their discretion determine to be required or advisable pursuant to Section 16 of the Securities Exchange Act of 1934 (as amended) and the rules and regulations promulgated thereunder, or any successor laws and regulations, as a consequence of the undersigned's ownership, acquisition or disposition of securities of the Company; and
- 2. Do all acts necessary in order to file such forms with the Securities and Exchange Commission, any securities exchange or national association, the Company and such other person or agency as the attorneys-in-fact shall deem appropriate.

The undersigned hereby ratifies and confirms all that said attorneys-in-fact and agents shall do or cause to be done by virtue hereof. The undersigned acknowledges that the foregoing attorneys-in-fact, in serving in such capacity at the request of the undersigned, is not assuming, nor is the Company assuming, any of the undersigned's responsibilities to comply with Section 16 of the Securities Exchange Act of 1934 (as amended).

This Power of Attorney shall remain in full force and effect until the undersigned is no longer required to file Forms 3, 4 and 5 with respect to the undersigned's holdings of and transactions in securities issued by the Company, unless earlier revoked by the undersigned in a signed writing delivered to the Company and the foregoing attorneys-in-fact.

The	undersigned	hereby	terminates al	l prior	nowers o	fattornex	regarding	the subject	t matter h	rereof	

IN WITNESS WHEREOF, the undersigned has caused this Power	of Attorney to be executed as of N	Aay 10, 2023.	
	Signature:	/s/ AnhCo Nguyen	
		AnhCo Nguyen	